



# **CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL**

Submit an original, and a duplicate for fee processing.  
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable

☐ **DUPLICATE**

Address to:

**Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231**

Attorney Docket No.  
of Prior Application

A-401B

First Named Inventor

Fox et al.

Examiner Name

Robert C. Hayes, PhD

Group / Art Unit

1645

Express Mail Label No.

EL198799877US

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 08/866,354, filed on May 30, 1997, entitled Neurotrophic Factor Receptors

## **NOTES**

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 153(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered amendment previously filed on \_\_\_\_\_ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53 (d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
    - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

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[Page 1 of 2]

01 FC:131 760.00 CH  
02 FC:103 18.00 CH  
03 FC:102 156.00 CH  
04 FC:104 260.00 CH

## CLAIMS AS FILED

For	Number Filed		Number Extra	Rate	Fee
Total Claims	21	- 20 =	1	x \$18.00 =	18.00
Independent Claims	5	- 3 =	2	x \$78.00 =	156.00
MULTIPLE DEPENDENT CLAIMS (if applicable)				+ \$260.00 =	260.00
Basic Fee				=	\$760.00
Total Filing Fee:					\$1194.00

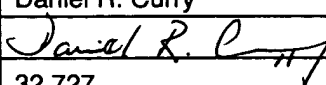
6. ☒ Throughout the prosecution of this application, if any extension of time is necessary, please consider this a request therefor.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 01-0519 throughout the prosecution of this application:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
9. ☒ New Attorney Docket Number, if desired A-401D.  
*[Prior application Attorney Docket Number will carry over to this CPA unless a new Attorney Docket Number has been provided herein.]*
10. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized. See MPEP 503.)
11. ☐ Other: \_\_\_\_\_

**NOTE:** The prior application's correspondence address will carry over to this CPA  
**UNLESS** a new correspondence address is provided below.

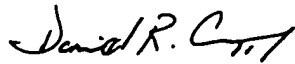
## 12. NEW CORRESPONDENCE ADDRESS

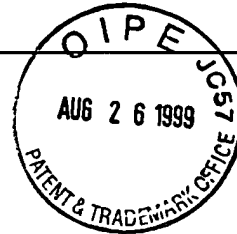
<input type="checkbox"/> Customer Number or Bar Code Label		<input checked="" type="checkbox"/> New correspondence address below	
<div style="border: 1px solid black; height: 40px; width: 100%;"></div>			
NAME	U.S. Patent Operations/DRC		
	M/S 27-4-A, Department 430		
ADDRESS	AMGEN INC.		
	One Amgen Center Drive		
CITY	Thousand Oaks	STATE	CA
		ZIP CODE	91320-1799
COUNTRY	US	TELEPHONE	805-447-8102
		FAX	805-499-8011

## 11. SIGNATURE OF APPLICANT, ATTORNEY OR AGENT REQUIRED

NAME (Print/Type)	Daniel R. Curry
SIGNATURE	
REGISTRATION NO. (Attorney/Agent)	32,727
DATE	August 26, 1999

## PATENT APPLICATION

<b>FEE AUTHORIZATION / AMENDMENT TRANSMITTAL LETTER</b>				Attorney's Docket No: A-401B		
Serial No. 08/866,354	Filing Date May 30, 1997	Examiner Robert C. Hayes, Ph.D.	Group Art Unit 1645			
In Re Application of Fox et al.						
For Neurotrophic Factor Receptors						
<b>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</b> <input checked="" type="checkbox"/> Applicants request the following extension of time under 37 C.F.R. 1.136(a): <input type="checkbox"/> One month of original due date (\$110.00) <input checked="" type="checkbox"/> Two months of original due date (\$400.00) <input type="checkbox"/> Three months of original due date (\$950.00) <input type="checkbox"/> Four months of original due date (\$1,510.00) <input type="checkbox"/> Five months of original due date (\$2,060.00) <input checked="" type="checkbox"/> A response in connection with the matter for which this extension is requested: <input type="checkbox"/> is filed herewith. <input type="checkbox"/> has been filed. <input checked="" type="checkbox"/> The response is the filing of a continuing prosecution application having an express abandonment conditioned on the granting of a filing date to the continuing application. <input type="checkbox"/> The accompanying papers include amended claims for which no additional fee is required. <input type="checkbox"/> The accompanying papers include amended claims the fee for which has been calculated as follows:						
<b>CLAIMS AS AMENDED</b>						
(1)	(2) Claims remaining after amendment	(3)	(4) Highest number previously paid for	(5) No. of extra claims present	(6) Rate	(7) Additional Fee
Total Claims	*	Minus	**	=	x \$22	=
Indep. Claims	*	Minus	***	=	x \$82	=
<input type="checkbox"/> First Appearance of a multiple dependent claim					+ \$270	=
Total Additional Fee for this Amendment						
<p>*If the entry in column 2 is less than the entry in column 4, write "0" in column 5.</p> <p>**If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.</p> <p>***If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.</p> <p><input type="checkbox"/> The following other fees are incurred by the accompanying papers.</p> <p><input type="checkbox"/> Other: _____</p> <p>Please charge Deposit Account No. 01-0519 in the name of Amgen Inc. in the amount of \$ <u>400.00</u>. A duplicate copy of this petition is attached.</p> <p><input checked="" type="checkbox"/> If an additional extension of time is required, please consider this a request therefore.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required by the accompanying papers, or credit any overpayment to Deposit Account No. 01-0519.</p>						
<u>Please Send Future Correspondence To:</u> U.S. Patent Operations/DRC Dept. 430, M/S 27-4-A AMGEN INC. One Amgen Center Drive Thousand Oaks, California 91320-1789				<div style="text-align: right;">           Daniel R. Curry          Attorney for Applicants          Registration No.: 32,727          Phone: (805) 447-8102          Date: August 26, 1999       </div>		



## EXPRESS MAIL CERTIFICATE

"Express Mail" mail labeling number: EL198799877US

Date of Deposit: August 26, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Freddie Craft  
Printed Name

  
Signature

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